FORM PTO-1449 (Modified)

OCT 2 3 2000

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTORNEY DOCKET 6465/53551

SERIAL NO. 09/598,016

APPLICANT: Theodore Charles Goldstein et al.

FILING DATE June 20, 2000 GROUP ART UNIT

2766

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	Sub- class	Filing Date # Appropriate	
B	A1 ,	5,960,411	Sept 28, 1999	Hartman et al			8ept 12, 1997	
	A 2						10 10 10 10 10	
	A 3						VE 21 All	
	A4						D IBI RC	
	A 5						OM	

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NO.	DATE	COUNTRY	CLASS	Subclass	TRANS	LATION
							yes	no
b	B1	PCT/US98/24091	Nov 12, 1998	PCT	•			
B	B2	PCT/US98/24092	Nov 12, 1998	PCT				

OTHER ART (Include Author, Title, Date, Pertinent Pages, Etc.)

P	C1	Lincoln Stein and Doug MacEachern, Writing Apache Modules with Perl and C, pp 213-21-9, 304 322, O'Reilly & Associates, 1999.	4-
P	C2	Chapter 24.5 Kerbeos, pp 566-571, Bruce Schneier, <u>Applied Cryptograph, Second Edition</u> , John Wiley & Sons, New York, 1996.	

EXAMINER	1	DATE CONSIDERED 27-1/2041
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

Substitute for form 1449A/PTO

PTO/SB/08A (10-96) OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known						
Application Number	09/598,016					
Filing Date	6/20/2000					
First Named Inventor	Goldstein					
Group Art Unit						
Examiner Name						
Attorney Docket Number	6456/53551					

				U.S. PATENT DOCUMEN	TS	
Examiner nitials*	Cite No.1	U.S. Patent Document Number Kind Code² (if known)		Name of Patentee or Applicant Number Kind Code ² of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1		5,802,497		Manasse	Sep. 1, 1998	
	<u> </u>	5,875,296		Shi et al.	Feb. 23, 1999	
	न्त	5,960,411		Hartman et al.	Sep. 28, 1999	· ·
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Examiner Initials*	Cite		Foreign Patent Doc	ument	N	Date of Publication of	Pages, Columns, Lines,	T
	No.1	Office	³ Number ⁴	Kind Code⁵ (if known)	Name of Patentee or Applicant of Cited Document	cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Te.
Mas		wo	96/42041		Levergood et al.	Dec. 27, 1996		
11/2/2		wo	98/47091		Martinez et al.	Oct. 22, 1998		T
1/1		wo	98/58306		Krishnan et al.	Dec. 23, 1998		1
		wo	99/24891		Paltenghe et al.	May 20, 1999	· · · · · · · · · · · · · · · · · · ·	1
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EXAMINER:	Initial reference considered, whether or not citation is in conformance with MPE	P 609. Draw lin	e through citation	if not in conformance and no

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 09/598,016 Filing Date HINE 20 2000 First Named Inventor GOLDSTEIN Group Art Unit **Examiner Name**

(use as many sheets as necessary) Sheet of

Attorney Docket Number 6456/53551

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Lincoln Stein and Doug MacEachern, Writing Apache Modules with Perl and C, pp. 213-222, O'Reilly & Assocs., 1999.	
1		Chapter 24.5 Kerberos, pp. 566-71, Bruce Schneier, Applied Cryptography 2nd Ed., John Wiley & Sons, 1996.	

Examiner Signature	Date Considered	170/00
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTORNEY	DOCKET
6465/5355	1

SERIAL NO. 09/598,016

APPLICANT: Theodore Charles Goldstein et al.

FILING DATE June 20, 2000 GROUP ART UNIT 2766

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	Sub- class	Filing Date If Appropriate
1	A1	6,092,196	July 18, 2000	Reigne			Nov. 25, 1997
	A2			MAR 0 7 2001	5154		
	А3			2001	<i>y</i>)		
	A4			PADEMARKS			P E TI
	A5						10 10 A

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NO.	DATE	COUNTRY	CLASS	Subclass	TRANS	LATION
							yes	no
	B1							
	B2							

OTHER ART (Include Author, Title, Date, Pertinent Pages, Etc.)

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Application Number	09/598,016						
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Attorney Docket Number	6456/53551						

U.S. PATENT DOCUMENTS									
Examiner Initials	xaminer Cite No. Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		5,715,314		Payne et al.	02-03-1998				
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Examiner Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Τ°	
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